Opus Coarse Energy Predictor Notes (Round 5)

Jake Taylor (yupferris@gmail.com), 3 July 2021

I've solved it - I was simply mistaken about what the output of the filters should be. Instead of the filters outputting the *prediction*, they output the *residual* directly. Under this interpretation, everything falls into place.

According to the paper¹/RFC², the 2D z-transform should be:

$$A(z_{\ell}, z_b) = (1 - \alpha z_{\ell}^{-1}) \cdot \frac{1 - z_b^{-1}}{1 - \beta z_b^{-1}}$$

I've simplified the source code (in unquant_coarse_energy in quant_bands.c in libopus $1.3.1^3$) to the following C (pseudo)code:

```
void unquant_coarse_energy(float *e, int bands) {
  float alpha = /* ... */;
  float beta = /* ... */;
  float prev = 0.0f;
  for (int b = 0; b < bands; b++) {
    float r = /* read from bitstream */;
    e[i] = alpha * e[i] + prev + r;
    prev = prev + (1 - beta) * r;
  }
}</pre>
```

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The goal is simple: extract difference equations from the code, derive the corresponding *z*-transforms, and then solve the final *z*-transform which should hopefully match what the paper/RFC list.

The code is governed by the following difference equations:

$$e[\ell, b] = \alpha e[\ell - 1, b] + prev[\ell, b - 1] + r[\ell, b]$$
$$prev[\ell, b] = prev[\ell, b - 1] + (1 - \beta)r[\ell, b]$$

The corresponding *z*-transforms are:

$$E(z_{\ell}, z_{b}) = \alpha z_{\ell}^{-1} E(z_{\ell}, z_{b}) + z_{b}^{-1} Prev(z_{\ell}, z_{b}) + R(z_{\ell}, z_{b})$$

$$Prev(z_{\ell}, z_b) = z_b^{-1} Prev(z_{\ell}, z_b) + (1 - \beta)R(z_{\ell}, z_b)$$

We expect the domain of the predictor to be e (the 2D "energy plane") and the range of the predictor to be r (the final coded residual). So, these two z-transforms should be all we need to reach the z-transform from the paper/RFC.

First, let's simplify the latter equation by isolating *Prev*:

$$Prev(z_{\ell}, z_{b}) = \frac{1 - \beta}{1 - z_{b}^{-1}} R(z_{\ell}, z_{b})$$

Now, we can substitute this definition in the first equation, which leaves only E and R signals (our expected domain and range, respectively):

$$E(z_{\ell}, z_{b}) = \alpha z_{\ell}^{-1} E(z_{\ell}, z_{b}) + z_{b}^{-1} \cdot \frac{1 - \beta}{1 - z_{b}^{-1}} R(z_{\ell}, z_{b}) + R(z_{\ell}, z_{b})$$

¹https://arxiv.org/abs/1602.04845

²https://datatracker.ietf.org/doc/html/rfc6716#section-4.3.2

Simplifying this yields:

$$R(z_{\ell}, z_{b}) = (1 - \alpha z_{\ell}^{-1}) \cdot \frac{1 - z_{b}^{-1}}{1 - \beta z_{b}^{-1}} E(z_{\ell}, z_{b})$$

Or, equivalently:

$$A(z_{\ell}, z_{b}) = (1 - \alpha z_{\ell}^{-1}) \cdot \frac{1 - z_{b}^{-1}}{1 - \beta z_{b}^{-1}}$$

Just as expected!

³https://opus-codec.org/release/stable/2019/04/12/libopus-1_3_1.html